

# Gut microbiota and the pathophysiology of cardiovascular disease

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cardiovascular diseases, heart failure, dysbiosis, gut microbiota, pathophysiology

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## Abstract

In recent years, significant findings with respect to the association of the gut microbiota and various human diseases have been discovered. The diversity can be explained by a multitude of interactions between intrinsic and environmental factors that are unique to each individual. This uniqueness of the microbiota may explain why some individuals are more prone to develop cardiovascular diseases. Gut dysbiosis plays a significant role in various pathophysiological processes. It can be postulated that health is linked to the homeostasis of the gastrointestinal microbiota. We provide an overview of diagnostic procedures to determine the microbiota's composition, the mechanisms of microbiota interactions and some effects of the microbiota on the development of cardiovascular diseases.

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2

3 **Abstract**

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7 uniqueness of the microbiota may explain why some individuals are more prone to develop  
8 cardiovascular diseases. Gut dysbiosis plays a significant role in various pathophysiological  
9 processes. It can be postulated that health is linked to the homeostasis of the gastrointestinal  
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12 development of cardiovascular diseases.

13

14 **Key words:** gut microbiota, dysbiosis, cardiovascular diseases, heart failure, pathophysiology.

15

16 **Abbreviations**

<b>ABBREVIATION</b>	<b>DEFINITION</b>
GIT	gastrointestinal tract
BMI	body mass index
HDL	high-density lipoprotein
CVD	cardiovascular diseases
RNA	ribonucleic acid
qPCR	quantitative polymerase chain reaction
T-RFLP	terminal restriction fragment length polymorphism
ARISA	automated method of ribosomal intergenic spacer analysis
FISH	fluorescence in situ hybridization
PAMPs	pathogen associated molecular patterns
LPS	lipopolysaccharide
TLRs	toll-like receptors
PG	peptidoglycan
SCFAs	short-chain fatty acids
TMA	trimethylamine
TMAO	trimethylamine N-oxide
hs-CRP	high-sensitivity C-reactive protein
GPCRs	G-protein-coupled receptors
LDL	low-density lipoprotein
CKD	chronic kidney disease
BSH	bacterial bile-salt hydrolase
oxLDL	oxidized low-density lipoprotein
GFD	gluten free diet

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## 20 1. INTRODUCTION

21 In the last ten years, significant advancements have been made in understanding the human  
22 microbiota and its role in various diseases (1). Gut microbiota is the collection of bacteria, fungi,  
23 viruses, archaea, and parasites in the gastrointestinal tract (GIT), producing a diverse ecosystem of  
24 about  $10^{14}$  microorganisms. A direct connection to our microbiota composition are our eating  
25 habits. As an illustration, epidemiological evidence on immigrants suggests that there is a potential  
26 fourfold increase in obesity risk within fifteen years of emigrating to the U.S. compared to  
27 populations remaining in their birth country. This can be furthermore accompanied by a decrease in  
28 their gut microbial diversity and function (2,3). It has been shown that environmental factors (e.g.,  
29 diet, household cohabitation) greatly outweigh heritable genetic contributions to the composition  
30 and function of gut microbiota (4). Furthermore, Rothschild et al. constructed a microbiome-  
31 association index that mimics heritability statistics (4,5). The most significant described  
32 associations were between the gut microbiome and host phenotypes for body mass index (BMI),  
33 waist-to-hip ratio, fasting glucose levels, glycemic status, high-density lipoprotein (HDL)  
34 cholesterol levels, and monthly lactose consumption (4,5). If the homeostasis of the gut microbiota,  
35 which acts almost like an endocrine organ, is disturbed, dysbiosis can contribute to the  
36 development of various diseases (6,7).

37

38 It comes as no surprise that some of these potential diseases include cardiovascular diseases  
39 (CVD), chronic kidney disease, type 2 diabetes mellitus, non-alcoholic fatty liver disease, and even  
40 certain types of cancer (1,8–10). The term dysbiosis presents a change in the composition of the gut  
41 microbiota. Reasons for such a change are manifold and can range from exposure to several factors  
42 (diet, increased stress, antibiotic usage). Ilya Ilyich Mechnikov (also written as Élie Metchnikoff)  
43 coined the term dysbiosis at the beginning of the 20<sup>th</sup> century. Together with Paul Ehrlich, they  
44 were awarded in 1908 the Nobel Prize in Physiology or Medicine "in recognition of their work on  
45 immunity". Dysbiosis might offer an explanation as to why certain individuals are more susceptible

46 to develop specific diseases. Moreover, it has recently been recognized that dysbiosis increases the  
47 chances for developing atherosclerosis and hypertension (1,9,11).

48

49 Two authors (P.S. and K.S.) performed an electronic bibliographic search of the PUBMED and  
50 Cochrane databases. The databases were primarily searched using the keywords/MeSH terms  
51 “cardiovascular diseases”, “gastrointestinal microbiome” and “dysbiosis” with various  
52 subheadings, taking into account the latest findings (last five years) with exceptions when citing  
53 older original findings. The initial search resulted in 231 entries were further screened by applying  
54 additional filters and eligibility criteria (full text, books and documents, clinical trial, meta-analysis,  
55 randomized controlled trial, systematic review, in the last five years). This alongside the exclusion  
56 criteria (exclusion of studies, chapters and articles with similar findings published as different  
57 bibliographic units) narrowed the final result to 28 articles. During analysis of these articles the  
58 therein reported and thematically relevant articles were also included. The inquiry was performed  
59 without time restriction at the Research department of the University clinical center Maribor.

60

## 61 **2. DIAGNOSTIC PROCEDURES FOR DETERMINING THE COMPOSITION OF** 62 **THE GASTROINTESTINAL MICROBIOTA**

63 The composition of the microbiota, its diversity and potential significance in maintaining  
64 homeostasis of epithelial cell function, prevention of pathogenic microorganism growth and  
65 production of different substances as well as ingredients can be determined with the use of a variety  
66 of methods, which differ in resolution (11–13). These methods may be employed to compare and  
67 specify the microbiota composition between samples, determine the specific microorganisms, their  
68 intercellular relationships and dependencies as well as their role in metabolism on basis of their  
69 genetic information (14). Some approaches and the corresponding terms can be seen in **table 1**  
70 (15,16).

71

72 An accurate representation of the human microbiota composition, as well as its characterization,  
73 was one of the main goals of the quite recent “Metagenomics of the Human Intestinal tract project”  
74 (17,18). Methods for defining the microbiota composition can be divided into traditional and  
75 molecular. Commonly known traditional methods are “the counting of cells on a specific culture  
76 medium” and the “most probable number” (14). Culturing methods have certain important  
77 drawbacks and restrictions, namely: a large amount of laboratory work, limited culturing  
78 possibility, and range (only 30% of the intestinal microbiota) (14). It has to be stressed that  
79 successful growth can be observed during cultivation only in 0.01 – 10% of the cells in the  
80 microbiological sample. Most molecular techniques utilize the ribosomal 16S and 18S RNA  
81 (rRNA), which function as phylogenetic markers for the taxonomic classification of organisms and  
82 are preserved in all bacteria, archaeobacteria, and eukaryotes. Some molecular methods include:

- 83 - **quantitative polymerase chain reaction (qPCR)** – amplification and quantification of  
84 16S rRNA, which enables the phylogenetic identification of microbiota.
- 85 - **denaturing gradient gel electrophoresis** – analysis of microbial communities by the  
86 sequence-specific separation of PCR-amplified 16S rRNA fragments by using a linear  
87 gradient of denaturants or temperature.
- 88 - **terminal restriction fragment length polymorphism (T-RFLP)** – the amplification is  
89 performed with one or both the primers having their 5’ end labeled with a fluorescent  
90 molecule with a subsequent restriction of 16S rRNA products with enzymes and gel  
91 electrophoresis separation
- 92 - **automated method of ribosomal intergenic spacer analysis (ARISA)** – PCR  
93 multiplication of a region between the 16S and 23S RNA regions, with subsequent  
94 fragment separation via capillary electrophoresis.
- 95 - **Fluorescence *in situ* hybridization (FISH)** – hybridization of oligonucleotides marked  
96 with a fluorescent molecule with 16S genes with subsequent measuring of fluorescence via  
97 a flow cytometer.

- 98 - **DNA-microarray** – hybridization of oligonucleotide probes, marked with a fluorescent  
99 molecule, with complementary oligonucleotides and subsequent measuring of fluorescence  
100 with a laser.
- 101 - **sequencing of cloned 16S rRNA genes** – cloning of all the 16S rRNA products, Sanger  
102 sequencing and capillary electrophoresis.
- 103 - **sequencing of 16S rRNA products** – also known as deep sequencing of 16S rRNA  
104 products.
- 105 - **shotgun metagenomics sequencing** of the whole microbiome.
- 106 - **shotgun metatranscriptomics sequencing** for determining gene expression of the  
107 microbiota.

108

109 All of the mentioned methods have their advantages as well as drawback, which become evident  
110 either when determining phylogenetic differences or in form of accurate identification,  
111 accessibility, and of course price.

### 112

### 113 3. MECHANISMS OF MICROBIOTA ACTIVITY

114 One of the major risk factors for CVD is atherosclerosis. Its pathophysiological basis is the  
115 accumulation of cholesterol, followed by an immune response that leads to the formation of  
116 plaques (1,11,19). Gut dysbiosis can via modulation of the inflammatory response as well as  
117 production of microbial metabolites accelerate this process (20–22):

- 118 a) **gut dysbiosis and atherosclerosis:** The GIT acts as a barrier, any changes in its  
119 permeability can lead to complications. These changes are associated with the reduced  
120 expression of tight junction proteins (e.g., zonula occludens-1, claudin-1 and occluding)  
121 and an imbalance between epithelial cell death and regeneration (1,21,22). What follows is  
122 the translocation of bacteria, which stimulate, via the recognition of their pathogen  
123 associated molecular patterns (PAMPs), an immune response and inflammation. What is

124 more, lipopolysaccharide (LPS) and peptidoglycan, which are part of the cell wall, have  
125 also been described as risk contributing factors.

126 I. **Humans:** The correlation between LPS and CVD risk was first proposed in 1999.

127 This has been done via measurements of plasma endotoxin levels (21). The  
128 correlation of endotoxemia and CVD burden has been confirmed in some studies.

129 Cani et al. reported the correlation between dysbiosis and the suppressed  
130 expression of tight junction proteins, which in turn leads to the above described  
131 cascade and translocation of LPS into the blood (23). On the pathophysiological

132 level, it has been proposed that the (gut dysbiosis-derived) LPS might act as a

133 modulator of toll-like receptors (TLRs), which are mostly present on immune  
134 sentinel cells who are responsible for the immune systems defense mechanisms.

135 The upregulation of these proteins has been associated with an inflammatory  
136 activation which in turn promoted the process of atherosclerosis. The bacterial cell

137 wall component peptidoglycan (PG) can apparently also impair the intestinal

138 epithelial barrier via an inflammatory response. This has been demonstrated in

139 patients with over-representation of genes for PG synthesis. Furthermore, this

140 polymer might be responsible for more vulnerable plaques in sclerotic arteries

141 (11,19). Inflammatory processes can be also stimulated by other PAMPs (CpG

142 oligodeoxynucleotides flagellin, lipopeptides etc.). All in all, the scientific results

143 in the last years have confirmed the correlation of the gut microbiota and

144 atherosclerosis risk (1,11,24),

145 b) **gut microbial metabolites in atherosclerosis:** in the metabolism of intestinal bacteria,  
146 different metabolites are produced that show involvement in the pathophysiology of  
147 atherosclerosis (**Figure 1**).

148  
149 Short-chain fatty acids (SCFAs) play a significant role in the development of metabolic diseases.

150 Bacteria can via the use of choline-specific and carnitine-specific trimethylamine (TMA) lyases



151 form TMA which in turn gets after absorption transferred to the liver. Through further metabolic  
152 processes (flavin-containing monooxygenases) the TMA gets converted into trimethylamine N-  
153 oxide (TMAO) (11,22). TMAO has according to literature a variety of different mechanisms which  
154 all promote atherosclerosis (cholesterol influx, cholesterol efflux inhibition, bile acids (BA)  
155 pathway blockade, excessive activation of platelets) (1,11). According to researchers, TMAO could  
156 represent, in addition to the role of a biomarker for CVD and atherosclerosis, a potential  
157 therapeutic target in the future.

158 c) **gut microbiota and hypertension:** already in 1982, Honour et al. showed that blood  
159 pressure could be elevated by the use of antibiotic treatment (20).

160 I. **Animals:** A study of Yang et al. from 2015 in spontaneously hypertensive rats  
161 confirmed that altering gut microbiota (e.g., decreasing/increasing) can influence  
162 the regulation of blood pressure. Specifically stressed was the increase in the ratio  
163 of Firmicutes/Bacteroidetes species (11).

164 II. **Humans:** Current evidence, even though it might not yet be complete, has  
165 elucidated and shown the importance of SCFAs and oxidized low-density  
166 lipoprotein (ox-LDL) in hypertension. The microbiota of a person is very specific  
167 and stable throughout the adult life span, despite the fact that 90% of them are  
168 dominated by representatives of only two bacterial species, *Firmicutes* and  
169 *Bacteroides*. Bacteria of these two species are good structural polysaccharides and  
170 SCFAs producers (e.g., butyrate, acetate, propionate). They are crucial for the  
171 homeostasis of the gut microbiome and host immunity (1,11,13). Interesting is the  
172 fact that different bacteria form different types of SCFAs. The study from Gomez-  
173 Arango et al. has shown that in obese pregnant women an increase in butyrate-  
174 producing bacteria (*Lachnospiraceae*, *Ruminococcaceae*, and  
175 *Acidaminococcaceae* families) is associated with lower blood pressure (22).  
176 SCFAs can stimulate host G-protein-coupled receptors (GPCRs)-regulated  
177 pathways to affect renin secretion and therefore blood pressure. From a

178 physiological basis the blood pressure regulatory mechanisms are primarily  
179 dependent on vasoconstriction and vasodilation. Another fascinating mechanism is  
180 low-density lipoprotein (LDL) oxidation by bacteria which causes excessive  
181 vasoconstriction. This is also promoted by pro-inflammatory cytokine expression,  
182 that causes oxidative stress and stimulates this process (1). All in all, higher levels  
183 of oxLDL can lead to a vasodilator/vasoconstrictor production disequilibrium,

184 d) **gut microbiota and heart failure:** with a growing body of scientific evidence the link  
185 between the gut in the pathogenesis of heart failure, the so-called “gut hypothesis of heart  
186 failure” is becoming more and more plausible (23,25,26). The pathophysiological events  
187 are shown in **figure 2**.

188 I. **Humans:** In a fascinating study from Niebauer et al. it has been shown that heart  
189 failure patients who had an accompanying peripheral edema exhibited increased  
190 concentrations of plasma inflammatory markers (endotoxin, cytokines) in  
191 comparison with those without an edema (11,23). When patients received diuretic  
192 treatment (short-term), serum concentrations of endotoxin, but not cytokines  
193 decreased. Furthermore, in a different study, higher serum concentrations of  
194 immunoglobulin A – anti-lipopolysaccharide were seen in individuals with heart  
195 failure and a lower intestinal blood flow. Surprisingly the microbiota was different  
196 in these individuals in comparison with the control group (11). Moreover, studies  
197 have also shown that TMAO levels were elevated in patients with heart failure in  
198 comparison with the control group. TMAO levels exhibited a remarkably strong  
199 adverse prognostic value in a cohort of stable patients with heart failure.

200

201 e) **gut microbiota and myocardial infarction:** Studies showed that atherosclerotic plaques  
202 (especially vulnerable/instable ones) can contain bacterial DNA.

203 I. **Humans:** In those specific individuals the bacterial species found in the plaques  
204 was then also found in the GIT (11,25,26). Subsequently, this means that the

205 composition of ones' microbiota might be a reason for increased rate as well as  
206 instability of plaque formation.

207 II. **Animals:** Lam et al. studied the impact of gut microbiota composition and the  
208 severity of myocardial infarction in rats (11,27). The authors reported that the  
209 levels of leptin and other catabolic amino acid metabolites as well as the  
210 myocardial infarct size were lower when rats were given broad-spectrum  
211 antibiotics (27). What is more, administration of *Lactobacillus plantarum* showed  
212 a significant reduction in infarct size and an improved left ventricular function  
213 after a myocardial infarction in rodents. A different study showed that the addition  
214 of *Lactobacillus rhamnosus* GR-1 attenuated left ventricular hypertrophy and heart  
215 failure after experimental myocardial infarction (28).

216 f) **gut microbiota and chronic kidney disease:** CVD and kidney diseases are closely  
217 interrelated (e.g., cardiorenal syndrome). Patients with chronic kidney disease (CKD) have  
218 a greater risk of CVD complications as well as an increased mortality rate, therefore, in  
219 many research environments, they are trying to identify the most appropriate biomarkers of  
220 potential complications (29). Studies have confirmed that patients with CKD have a  
221 distinctly different composition of gut microbiota. In CKD an influx of circulating urea and  
222 other uremic toxins into the gut lumen occurs and induces the so-called "leaky gut"  
223 (11,30–34). The pathophysiological mechanism is shown in **figure 3**.

224 I. **Humans:** Recently, the DNA of gut microbiota has been detected in the plasma of  
225 CKD patients on chronic hemodialysis using bacterial 16S rDNA amplification  
226 and DNA pyrosequencing. Moreover, the levels of the bacterial DNA correlated  
227 with increased plasma inflammatory marker levels. Poorly dialyzable protein-  
228 bound uremic toxins such as indoxyl sulfate and p-cresyl sulfate are associated  
229 with poor cardiovascular outcomes (11,32). TMAO has been known to accumulate  
230 in the plasma of patients with CKD, and higher TMAO levels were associated with

231 higher mortality and progressive loss of kidney function (33,34), which has to an  
232 extent also been proven by the data from the Framingham Heart Study (35).  
233  
234 Research has also been focused on links between dysbiosis and obesity, type 2 diabetes, and  
235 dyslipidemia. Obesity has been linked to a higher ratio of *Firmicutes* to *Bacteroidetes*, type 2  
236 diabetes was associated with a reduction in butyrate-producing bacteria and an increase of  
237 *Lactobacillus* spp (1,10,11,19,24). Gut microbiota have via their own enzymes (e.g., bacterial bile-  
238 salt hydrolase (BSH)) the ability to regulate BA metabolism. This is essential for the formation of  
239 secondary BAs. The decrease of mentioned BSH activity in a dysbiotic ecosystem leads to a variety  
240 of pro-atherosclerotic effects. Specifically, dysbiosis can lead to impaired cholesterol elimination  
241 and dyslipidemia by modulating hepatic and/or systemic lipid metabolism, as well as glucose  
242 metabolism (1,11,19,24).

243

#### 244 **4. CLINICAL RELEVANCE OF GUT MICROBIOTA**

245 Over the last decade, knowledge about the relationship between dysbiosis and the pathogenesis of  
246 CVD has rapidly accumulated (36–39). Some of the lessons are new opportunities for early,  
247 targeted action, and at the same time, many research questions are being raised about the  
248 relationship between "what is cause and what is the consequence" and therapeutic options.  
249 Research on dysbiosis in some groups of patients is surprising, typical signs of disrupted  
250 microbiota are reduced diversity, a decrease in anti-inflammatory species such as *Faecalibacterium*  
251 *prausnitzii* and an increase in various members of the *Enterobacteriaceae* (40,41). Mahnic et al.  
252 have confirmed that bacterial and fungal alterations of the gut microbiota, which are often reported  
253 to be disease-specific, such as a decrease of *Faecalibacterium* and an increase in *E. coli*,  
254 *Enterococci* and *Candida*, are often found in a broader population of hospitalized patients with  
255 different diseases and also in healthy controls (41). Furthermore, the authors showed a prominent  
256 correlation between levels of C-reactive protein and the abundance of *Enterococcus*. Although gut  
257 dysbiosis is often perceived as random, the research group has described two different types in

258 which the severity of the disorder was correlated with specific microbial patterns, the degree of  
259 inflammation and, to some extent, the use of antibiotics (41). Specifically, the clinical examples of  
260 gut microbiota interventions for CVD can be divided into multiple groups: 1) dietary interventions;  
261 2) exercise; 3) pro-, pre-, antibiotics; 4) fecal transplantation; 5) TMAO reduction 6) other (e.g.,  
262 nanomedical approaches) (7,42).

263

264 Modulating the gut microbiota with the help of dietary changes has been shown to be a promising  
265 intervention for lowering the risk for coronary diseases (43,44) as well as general atherosclerosis  
266 (19). A Mediterranean diet intervention have been reported to alter the gut microbiome in older  
267 people and thus reduce frailty and improving health status (45). Furthermore, in animal models  
268 high fiber diet has been associated with lower blood pressure, lower cardiac hypertrophy and lower  
269 degree of fibrosis (46). Even trace elements as zinc have shown to have a significant impact on the  
270 homeostasis of the microbiota (47). The habitual diet of a person is considered a key driver in  
271 establishing this core microbial profile (48). Acute dietary interventions in humans lead to transient  
272 microbial shifts (e.g., days to weeks) (49). Moreover, for quite some time gluten free diet (GFD)  
273 plans have been trending in the general unaffected populous as a healthy diet change, despite being  
274 primarily aimed at those with gluten-related disorders (e.g., celiac disease, gluten allergy, etc.) (50).  
275 Many studies tried to evaluate the impact of such a dietary change (51–54). Some of the commonly  
276 reported changes include a reduction in *E. hallii*, *A. hadrus*, *Bifidobacterium* and an increase in  
277 *Enterobacteriaceae* and *Escherichia coli* (55). It has been described that the effects of GFD, while  
278 reducing bacterial richness, heavily depend on the subject's health as well as disease state (e.g.,  
279 celiac disease, healthy) (55). Reports on this matter differ based on study population, geographical  
280 diversity as well as the individuality of patients. Recent studies report that in normal subjects the  
281 diet had deleterious effects (54,55) and that the opposite was the case in patients with celiac disease  
282 (55,56). Furthermore, as stated by Lebwohl et al, avoidance of gluten in healthy subjects may result  
283 in reduced consumption of beneficial whole grains, which may affect cardiovascular risk (57). It  
284 has to be stressed that the results from the effect of GFD on health and the gut microbiota cannot be

285 extrapolated from one population (or region) to others nor are they universally applicable (58). This  
286 statement applies to all dietary intervention in any other dysbiosis associated gastrointestinal  
287 disease (e.g., inflammatory bowel disease). Such alterations should not be done lightly.  
288 Nevertheless, it is generally considered that irregular eating habits, such as skipping breakfast,  
289 having dinner late, and late-night eating, contribute to obesity and other metabolic disorders (59).

290

291 Exercise is of the utmost importance for a healthy human being. Not only does it lower the risk for  
292 CVD and improves long term survival in patients with preexisting heart conditions (60), it has also  
293 been shown that regular exercise promotes a healthy gut microbiota while protecting the  
294 permeability and function of the gut barrier (61). Several studies indicate that exercise leads to an  
295 increase in the number of health-promoting bacterial species (62–64). For example, in active  
296 women a higher abundance of (*Faecalibacterium prausnitzii*, *Roseburia hominis* and *Akkermansia*  
297 *muciniphila*) has been shown (64). However, based on the Allen, et al. (65) the effects of exercise  
298 on the gut microbiota depend on the continuity of exercise and are therefore reversible.

299

300 Other modalities of microbiota modulation include probiotics (66), prebiotics (67), postbiotics as  
301 well as antibiotics (68). Probiotics are live microorganisms administered to re-establish an  
302 intestinal ecological balance, through a variety of different mechanisms (68), which also include  
303 immunomodulation of the host and inhibition of bacterial toxin production. Therapy with  
304 probiotics has shown promise in patients with impaired cardiac function (68,69) and have been  
305 associated with a protective effect against colorectal cancer (67). The by-products of probiotic  
306 cultures are called postbiotics. These, despite only recently getting attention, have been shown  
307 exhibit positive effects (e.g., suppress colonic inflammation and restore gut barrier integrity) (67).  
308 However, the exact identity of the postbiotics and the molecular mechanisms are not yet fully  
309 understood (67). Moreover, prebiotics have been reported to beneficially modify lipid metabolism  
310 (70). The use of antibiotics to specifically alter the microbiota is, due to a wide range of potential  
311 side-effects, still debatable. Antibiotic administration presents the most aggressive means to

312 manipulate gut microbiota composition. Negative effects include the depletion of bacterial  
313 diversity, altered gene expression and metabolism, selection for intrinsically resistant bacteria etc.  
314 (71,72). That is why antibiotics have also been referred to as deep modulators of the gut microbiota  
315 (**figure 4**) (72,73). Some examples of modulation include the study on obesity (74), insulin  
316 resistance, diabetes (75), myocardial infarction (mentioned previously) (27). Mouse models  
317 showed that the effects of antibiotic treatment towards weight appears to depend on several factors  
318 (e.g., drug dosage, timing of exposure) (74). The results depending on dosage showed either a  
319 tendency to become underweight (76) or overweight (75). This has been explained as selective  
320 dysbiosis. At the same time, certain antibiotics showed in obese mice antidiabetic effects (77).  
321 Another study provided evidence that early life treatment of mice with vancomycin was beneficial  
322 in preventing the onset of diabetes by an increase in health-promoting bacteria (78). In human  
323 studies antibiotic exposure during infancy was linked to being a risk factor for becoming  
324 overweight later in childhood (79). These results still need to be validated by additional studies  
325 (80).  
326  
327 Fecal microbiota transplantation has already therapeutically confirmed the importance of a healthy  
328 gut microbiota in certain patients. This form of treatment is several decades old and still presents an  
329 important intervention (81). It has been even shown that this method might improve insulin  
330 resistance (82). Due to the negative effect of TMAO, probiotics as well as other pharmacological  
331 interventions in form of TMAO reduction inhibitors can be utilized to inhibit or block specific  
332 microbial metabolic pathways. In mice the treatment with a TMA-lyase inhibitor has shown  
333 promise by improving hemodynamical parameters (83). However, further studies will have to be  
334 performed to fully determine the safety profiles and possible consequences of such therapies.

335

## 336 **5. CONCLUSION**

337 **Microbiota and dysbiosis represent areas of research interest that will most certainly change some**  
338 **of the established methods of treatment in the future. These changes show great promise in the field**

339 of cardiovascular diseases. The present article has discussed different aspects of dysbiosis, its  
340 pathophysiological pathways and its effects on cardiovascular health as well as possible promising  
341 interventions. All of the presented methods alter the microbial composition in different ways (e.g.,  
342 suppression of TMA, increase in beneficial cultures etc.) and may lead to positive changes that help  
343 prevent and/or reduce deleterious effects of atherosclerosis, hypertension, hearth failure, obesity as  
344 well as diabetes. The presented changes have in certain cases still only been reported in animal  
345 models and should therefore not be directly extrapolated to humans. Furthermore, although we can  
346 change the composition of the microbiota, unfortunately at the present moment we cannot fully  
347 predict the long-term effects of our actions as well as offer universal guidelines for all  
348 interventions.

Preprint



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351 of the study, literature review and analysis, drafting and critical revision and editing, and final  
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## TABLES

**Table 1:** Methods for gut microbiota analysis and their definitions

Areas	Name	Principle	Method	Pros	Cons
Composition	Biomarker profiling	DNA	NGS	Cost effective; semi quantitative	No functional information
	Metagenomics	DNA	NGS	Strain-level resolution	Expensive Computationally intensive
Productivity	Metabolomics	Metabolites	LG/GC - MS	Semi- quantitative Targeted or untargeted	Origin or metabolite unclear
Function	Metatranscriptomics	RNA	NGS	Host and microbial gene transcripts	Samples require RNA preservation; host genes may predominate signal
	Metaproteomics	Proteins	LG/GC - MS	Semi- quantitative	Origin of proteins unclear
Term	Definition (based on Marchesi JR and Ravel J) (15)				
Microbiome	The term represents all microorganisms (e.g. bacteria, viruses, etc.), their genomes (i.e., genes), and the surrounding environmental conditions.				
Metagenomics	Metagenomics is the process used to characterize the metagenome (DNA from a group of species) and gain information on the potential function of the microbiota.				
Metabolomics	This term describes systematic identification and quantification of small molecule metabolic products of any given strain or single tissue.				
Metabonomics	Is a subset of metabolomics and describes the approach used to measure metabolic changes, with respect to time, due to an intervention.				
Metatranscriptomics	The analysis of the suite of expressed RNAs (meta-RNAs), which provides information on the regulation and expression profiles of complex microbiomes.				
Metaproteomics	Large-scale characterization of the entire protein complement of environmental or clinical samples at a given point in time.				

Legend: NGS - next-generation sequencing, LG/GC - liquid/gas chromatography, MS -

mass spectrometry. First part adapted from Durack J and Lynch SV (16).

## **FIGURE LEGENDS**

### **Figure 1: Microbial metabolites**

Depiction of different microbial metabolites that trigger specific pathophysiological mechanisms in the development of cardiovascular diseases.

### **Figure 2: Gut hypothesis**

The graphical depiction of the potential link between dysbiosis and heart failure.

### **Figure 3: Leaky gut hypothesis**

The simplified graphical depiction of the potential link between dysbiosis, the disruption of tight junction integrity and inflammatory response.

### **Figure 4: Effects of antibiotics on the microbiota composition**

The depiction of the overall changes of specific antibiotic groups on the microbiota.

Adapted from Bhalodi et al. (72) and Ianiro et al. (73).

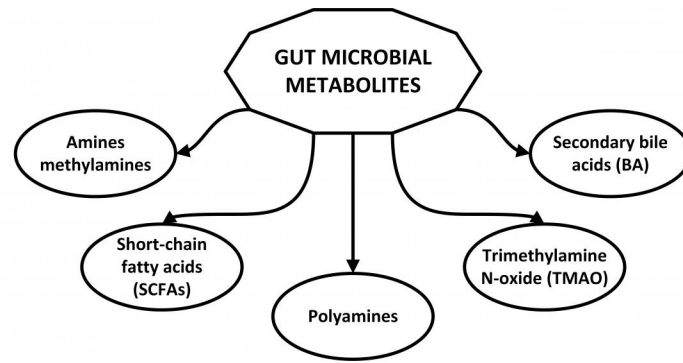


Figure 1: Microbial metabolites

Depiction of different microbial metabolites that trigger specific pathophysiological mechanisms in the development of cardiovascular diseases.

Preprint

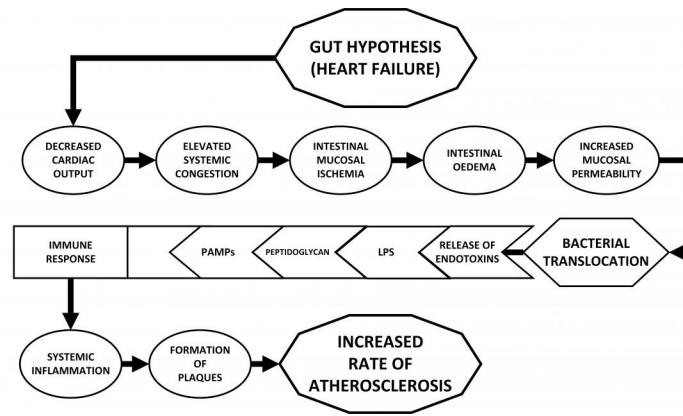


Figure 2: Gut hypothesis The graphical depiction of the potential link between dysbiosis and heart failure.

Preprint

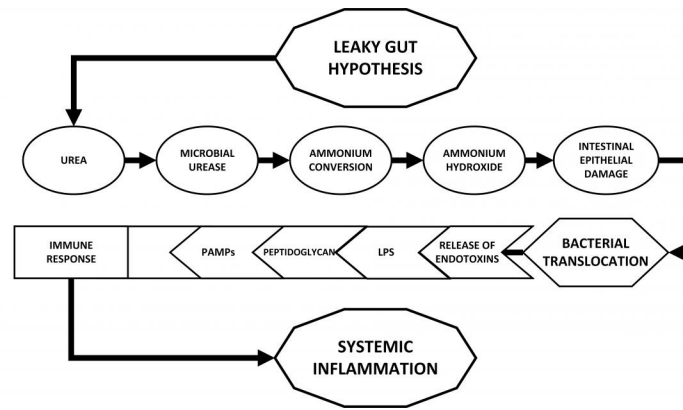


Figure 3: Leaky gut hypothesis The simplified graphical depiction of the potential link between dybiosis, the disruption of tight junction integrity and inflammatory response.

Preprint

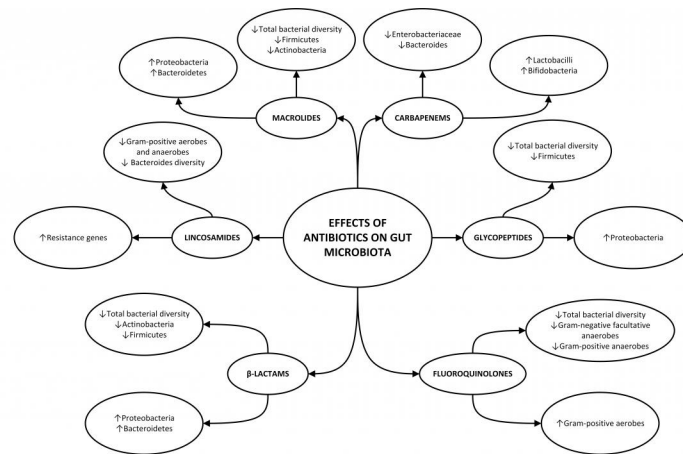


Figure 4: Effects of antibiotics on the microbiota composition

The depiction of the overall changes of specific antibiotic groups on the microbiota. Adapted from Bhalodi et al. (72) and Ianiro et al. (73).

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